

# ON DUAL $L^1$ -SPACES AND INJECTIVE BIDUAL BANACH SPACES

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## ABSTRACT

In a previous paper (Israel J. Math. **28** (1977), 313–324), it was shown that for a certain class of cardinals  $\tau$ ,  $l^1(\tau)$  embeds in a Banach space  $X$  if and only if  $L^1([0, 1]^\tau)$  embeds in  $X^*$ . An extension (to a rather wider class of cardinals) of the basic lemma of that paper is here applied so as to yield an affirmative answer to a question posed by Rosenthal concerning dual  $\mathcal{L}_1$ -spaces. It is shown that if  $Z^*$  is a dual Banach space, isomorphic to a complemented subspace of an  $L^1$ -space, and  $\kappa$  is the density character of  $Z^*$ , then  $l^1(\kappa)$  embeds in  $Z^*$ . A corollary of this result is that every injective bidual Banach space is isomorphic to  $l^\infty(\kappa)$  for some  $\kappa$ . The second part of this article is devoted to an example, constructed using the continuum hypothesis, of a compact space  $S$  which carries a homogeneous measure of type  $\omega_1$ , but which is such that  $l^1(\omega_1)$  does not embed in  $\mathcal{C}(S)$ . This shows that the main theorem of the already mentioned paper is not valid in the case  $\tau = \omega_1$ . The dual space  $\mathcal{C}(S)^*$  is isometric to

$$(L^1[0, 1]^{\omega_1}) \oplus \left( \sum_{\omega_1}^{\oplus} L^1[0, 1] \oplus l^1(\omega_1) \right)_1,$$

and is a member of a new isomorphism class of dual  $L^1$ -spaces.

## 1. Preliminaries

The notation and conventions used will be those of [3]. Cardinal numbers will be identified with the corresponding initial ordinals, but when the notation  $\kappa^\lambda$  is used it will be cardinal, rather than ordinal, exponentiation that is intended.

When  $\mu$  is a measure,  $\mathcal{L}^1(\mu)$  will denote the space of all  $\mu$ -integrable functions, and  $L^1(\mu)$  its quotient by the null functions. If  $f$  is in  $\mathcal{L}^1(\mu)$ , I write  $f$  for the corresponding element of  $L^1(\mu)$ . I write  $\mathbf{D}$  for the two-point space  $\{0, 1\}$ , and  $\lambda_A$  for the usual product measure on  $\mathbf{D}^A$ . If  $\mu$  is any measure, the Banach space  $L^1(\mu)$  is isometric to the  $l^1$ -direct sum

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$$\left( \sum_{a \in A} \oplus L^1(\nu_a) \right)_1,$$

where each  $\nu_a$  is a finite measure. Moreover, it may be assumed that each  $L^1(\nu_a)$  is isometric to  $L^1(\lambda_{\kappa(a)})$  for a suitable cardinal  $\kappa(a)$ .

A Banach space  $X$  is said to be injective (or to be a  $\mathcal{P}_\infty$ -space) if, whenever  $Z$  is a Banach space,  $Y$  is a closed linear subspace of  $Z$ , and  $T: Y \rightarrow X$  is a bounded linear operator, there exists a bounded linear operator  $U: Z \rightarrow X$  which extends  $T$ . Most of the known results about injective Banach spaces are to be found in the paper [5] of Rosenthal; of the questions posed in that work, answers are given here to Conjecture 3 and 6 of §6.

The theory of injective Banach spaces is closely related to that of  $\mathcal{L}_1$ - and  $\mathcal{L}_\infty$ -spaces (by page 201 of [4]). Let us recall in particular that every injective Banach space is an  $\mathcal{L}_\infty$ -space, that  $Y$  is an  $\mathcal{L}_1$ -space if and only if  $Y^*$  is injective, and that  $Z$  is an  $\mathcal{L}_\infty$ -space if and only if  $Z^*$  is an  $\mathcal{L}_1$ -space. Thus, in studying injective bidual Banach spaces, we are simply looking at the second duals of  $\mathcal{L}_\infty$ -spaces. A vital tool is a lifting property possessed by dual  $\mathcal{L}_1$ -spaces, which appears as lemma 4 of [2], and which, for convenience, I record again here.

1.1 LEMMA. *Let  $X$  and  $Y$  be Banach spaces and  $J: X \rightarrow Y$  be a (linear homeomorphic) embedding. Let  $Z \subseteq X^*$  be a closed linear subspace which is an  $\mathcal{L}_1$ -space. Then there is a closed linear subspace  $W$  of  $Y^*$  such that  $J^*|_W$  is a linear homeomorphism of  $W$  onto  $Z$ .*

As in [3] a crucial role in this paper will be played by a combinatorial lemma due to Erdős and Rado. Recall that a family of sets  $(E(\alpha))_{\alpha \in \Delta}$  is said to be quasidisjoint (with common intersection  $I$ ) if  $E(\alpha) \cap E(\beta)$  is the same set  $I$  whenever  $\alpha$  and  $\beta$  are distinct elements of  $\Delta$ . It will be convenient for us to say that a cardinal  $\tau$  has the property  $(\neq)$  if  $\kappa^\omega < \tau$  whenever  $\kappa$  is a cardinal and  $\kappa < \tau$ . The following result is theorem 1 of [1].

1.2 LEMMA. *Let  $(E(\alpha))_{\alpha \in \Gamma}$  be a family of countable sets, and suppose that  $|\Gamma|$  is a regular cardinal with the property  $(\neq)$ . Then there is a subset  $\Delta$  of  $\Gamma$  with  $|\Delta| = |\Gamma|$  such that  $(E(\alpha))_{\alpha \in \Delta}$  is quasidisjoint.*

**2. Injective bidual Banach spaces**

In this paragraph, a refinement of proposition 2.3 of [3] is presented, which allows us to prove a conjecture of Rosenthal's about injected biduals. First let us settle some notation. When  $B$  is a subset of  $A$ , we shall write  $\pi_B$  for the projection  $\mathbf{D}^A \rightarrow \mathbf{D}^B$ . In [3] use was made of the conditional expectation map  $\mathcal{E}_B: L^1(\lambda_A) \rightarrow L^1(\lambda_B)$ ; it will be convenient here to work with maps

$$\mathcal{U}_B \quad \text{and} \quad \mathcal{V}_B : L^\infty(\lambda_A) \rightarrow L^\infty(\lambda_B)$$

defined by putting

$$\mathcal{U}_B f' = u' \quad \text{and} \quad \mathcal{V}_B f' = v',$$

where  $u$  and  $v$  are given (almost everywhere) by

$$u(z) = \text{ess sup} \{f(x, z) : x \in \mathbf{D}^{A \setminus B}\},$$

$$v(z) = \text{ess inf} \{f(x, z) : x \in \mathbf{D}^{A \setminus B}\}.$$

We recall that every element of  $L^1(\lambda_A)$  “depends on only countably many coordinates”, in the sense that, if  $f' \in L^1(\lambda_A)$  there exist a countable subset  $E$  of  $A$  and  $g' \in L^1(\lambda_E)$  such that  $f' = (g' \circ \pi_E)'$ . The following lemma expresses a by now familiar idea in what will be a convenient form.

**2.1 LEMMA.** *Let  $B$  be a subset of  $A$  and  $f'_\alpha = (g_\alpha \circ \pi_{E(\alpha)})'$  ( $\alpha \in \Delta$ ) be a bounded family of elements of  $L^\infty(\lambda_A)$ . Suppose that  $E(\alpha) \cap E(\beta) \subseteq B$  whenever  $\alpha$  and  $\beta$  are distinct elements of  $\Delta$ . Write  $u'_\alpha = \mathcal{U}_B f'_\alpha$ ,  $v'_\alpha = \mathcal{V}_B f'_\alpha$ , and suppose further that there exist real numbers  $r$ , and  $\delta > 0$ , such that the intersection*

$$F_M = \bigcap_{\alpha \in M} \{z \in \mathbf{D}^B : u_\alpha(z) > r + \delta, v_\alpha(z) < r\}$$

is non-null for every finite  $M \subseteq \Delta$ . Then the family  $(f'_\alpha)_{\alpha \in \Delta}$  is equivalent for the  $L^\infty$ -norm to the usual basis of  $l^1(\Delta)$ .

**PROOF.** It is enough, by proposition 4 of [6], to show that for every disjoint pair of finite subsets  $M_0, M_1$  of  $\Delta$  the set

$$G = \bigcap_{\alpha \in M_0} \{x \in \mathbf{D}^A : f'_\alpha(x) > r + \delta\} \cap \bigcap_{\beta \in M_1} \{x \in \mathbf{D}^A : f'_\beta(x) < r\}$$

is non-null. Using Fubini's theorem and the fact that the sets  $E(\alpha) \setminus B$  are mutually disjoint, we can estimate  $\lambda_A(G)$  by

$$\lambda_A(G) \cong \int_{F_M} \left[ \prod_{\alpha \in M_0} \lambda_{E(\alpha) \setminus B} \{y : g_\alpha(y, z) > r + \delta\} \right]$$

$$\left[ \prod_{\beta \in M_1} \lambda_{E(\beta) \setminus B} \{y : g_\beta(y, z) < r\} \right] \lambda_M(dz).$$

Since the integrand is everywhere positive on the non-null set  $F_M$  (where  $M = M_0 \cup M_1$ ), we see that  $\lambda_A(G) > 0$ .

2.2 PROPOSITION. *Let  $(\Omega, \mathcal{F}, \mu)$  be a probability space and  $\tau$  be a cardinal which satisfies  $(\neq)$  and which is such that the cofinality  $\text{cf}(\tau)$  is either  $\omega$  or else does itself satisfy  $(\neq)$ . Let  $(f_\alpha)_{\alpha \in \Gamma}$  be a family of elements of  $L^\infty(\Omega, \mathcal{F}, \mu)$  satisfying  $|\Gamma| = \tau$ ,  $\|f_\alpha\|_{L^\infty} \leq 1$  ( $\alpha \in \Gamma$ ), and  $\|f_\alpha - f_\beta\|_{L^1} \geq \varepsilon > 0$  ( $\alpha, \beta \in \Gamma, \alpha \neq \beta$ ). Then there is a subset  $\Delta$  of  $\Gamma$  with  $|\Delta| = \tau$  such that  $(f_\alpha)_{\alpha \in \Delta}$  is equivalent for the  $L^\infty$ -norm to the usual basis of  $l^1(\Delta)$ .*

PROOF. For the case of  $\tau$  a regular cardinal, this is proposition 2.3 of [3]. So we assume  $\text{cf}(\tau) = \kappa < \tau$  and find disjoint subsets of  $\Gamma$ ,  $\Gamma(\xi)$  ( $\xi \in \kappa$ ), in such a way that each  $\tau(\xi) = |\Gamma(\xi)|$  is a regular cardinal, greater than  $\kappa$  and satisfying  $(\neq)$ , while we have also

$$\begin{aligned} \tau(\xi) &> \sup\{\tau(\eta) : \eta < \xi\} \quad \text{and} \\ \tau &= \sup\{\tau(\xi) : \xi \in \kappa\}. \end{aligned}$$

We may assume that the probability triple is in fact  $(\Omega, \mathcal{F}, \mu) = (\mathbf{D}^A, \mathcal{B}(\mathbf{D}^A), \lambda_A)$ , and that the functions  $f_\alpha$  have the form  $g_\alpha \circ \pi_{E(\alpha)}$  for suitable countable subsets  $E(\alpha)$  of  $A$  and  $g_\alpha \in L^\infty(\lambda_{E(\alpha)})$ . Using the combinatorial lemma of Erdős and Rado and various straightforward reductions of a type made in [3] (based on the observation that each  $\tau(\xi)$  is a regular cardinal greater than  $2^\omega$ ), we may assume that the sets  $\Gamma(\xi)$  were chosen so that the following hold:

- (i) each family  $(E(\alpha))_{\alpha \in \Gamma(\xi)}$  is quasidisjoint, with common intersection  $I(\xi)$ , say;
- (ii) for each  $\xi$  there are functions  $u_\xi, v_\xi$  in  $\mathcal{L}^\infty(\lambda_{I(\xi)})$  such that

$$\begin{aligned} \mathcal{U}_{I(\xi)} f_\alpha &= u_\xi \quad \text{and} \\ \mathcal{V}_{I(\xi)} f_\alpha &= v_\xi \quad \text{for all } \alpha \in \Gamma(\xi). \end{aligned}$$

Now we certainly have  $\|u_\xi - v_\xi\|_{L^1} \geq \varepsilon$  and  $\|u_\xi - v_\xi\|_{L^\infty} \leq 2$  so

$$\lambda_{I(\xi)}\{z : u_\xi(z) - v_\xi(z) \geq \varepsilon/2\} \geq \varepsilon/4.$$

If  $\delta$  is any real with  $0 < \delta < \varepsilon/2$  choose an integer  $N > (\varepsilon/2 - \delta)^{-1}$ . Then there exists an integer  $M = M(\xi)$  with  $|M(\xi)| \leq N$ , such that if we put  $r = M/N$  we have

$$\lambda_{I(\xi)}\{z : u_\xi(z) > r + \delta, v_\xi(z) < r\} \geq \varepsilon/8N.$$

We may suppose that the family of sets  $(\Gamma(\xi))_{\xi \in \kappa}$  was so chosen that  $M(\xi)$  is the same integer for all  $\xi$ .

We may now proceed inductively to make further refinements of the sets  $\Gamma(\xi)$ . For each  $\xi$  we know by hypothesis that

$$\Sigma(\xi) = \{E(\alpha) : \alpha \in \Gamma(\eta), \eta < \xi\}$$

is a set of cardinality strictly less than  $\tau(\xi)$ . Since  $\tau(\xi)$  is a regular cardinal satisfying  $(\neq)$ , we may assume that the sets  $\Gamma(\xi)$  were chosen so that the intersection  $E(\alpha) \cap \Sigma(\xi)$  is the same countable subset of  $\Sigma(\xi)$  for all  $\alpha \in \Gamma(\xi)$ . The last refinement is to note that (discarding at most  $\kappa$  elements from each  $\Gamma(\xi)$ ) we may suppose that  $E(\alpha) \cap E(\beta) \subseteq I(\xi) \cap I(\eta)$  whenever  $\alpha \in \Gamma(\xi)$ ,  $\beta \in \Gamma(\eta)$  and  $\xi \neq \eta$ . We are now ready to consider separately the two cases to be dealt with.

(i)  $\text{cf}(\tau) = \omega$

Put  $B = \bigcup_{m \in \omega} I(m)$ . Then certainly  $E(\alpha) \cap E(\beta) \subseteq B$  whenever  $\alpha, \beta$  are distinct elements of  $\bigcup_{m \in \omega} \Gamma(m)$ , and

$$\mathcal{U}_B f_\alpha = (u_m \circ \pi_{I(m)}),$$

$$\mathcal{V}_B f_\alpha = (v_m \circ \pi_{I(m)})$$

whenever  $\alpha \in \Gamma(m)$ . We know that if

$$H_m = \{w \in \mathbf{D}^B : u_m(\pi_{I(m)}w) > r + \delta \text{ and } v_m(\pi_{I(m)}w) < r\}$$

we have  $\lambda_B(H_m) \geq \varepsilon/8N$ . Consequently there is an infinite subset  $\sigma$  of  $\omega$  such that each intersection  $\bigcap_{m \in M} H_m$ , with  $M$  a finite subset of  $\sigma$ , is non-null. We put  $\Delta = \bigcup_{m \in \sigma} \Gamma(m)$  and have the required result by Lemma 2.1.

(ii)  $\text{cf}(\tau)$  satisfies  $(\neq)$

Since  $\text{cf}(\tau)$  is regular we may assume that the family  $(\Gamma(\xi))_{\xi \in \kappa}$  was chosen so that  $(I(\xi))_{\xi \in \kappa}$  is quasidisjoint, with common intersection  $J$ , say. For each  $\xi$  we can choose a compact non-null subset  $K_\xi$  of  $\mathbf{D}^{I(\xi)}$  such that  $u_\xi(z) > r + \delta$ ,  $v_\xi(z) < r$  for all  $z \in K_\xi$ . Making a last refinement, we may assume that  $\pi_J[K_\xi]$  is the same compact subset of  $\mathbf{D}^J$  for all  $\xi$ . If we put  $B = \bigcup_{\xi \in \kappa} I(\xi)$  and  $\Delta = \bigcup_{\xi \in \kappa} \Gamma(\xi)$ , Lemma 2.1 is again applicable.

For our desired application of the above result we shall have need of some further ideas from [5] which for convenience are presented formally as lemmas.

2.3 LEMMA. *Let  $X$  be a subspace of an  $L^1$ -space  $(\sum_{a \in A} \oplus L^1(\nu_a))_1$ , where all the measures  $\nu_a$  are finite. Let  $\sigma$  be the smallest cardinal of a subset  $B$  of  $A$  for which the natural map  $P_B : X \rightarrow (\sum_{a \in B} \oplus L^1(\nu_a))_1$  is a homeomorphic embedding. Then  $X$  has a complemented subspace isomorphic to  $l^1(\sigma)$ , but no subspace isomorphic to  $l^1(\tau)$  for an uncountable cardinal  $\tau > \sigma$ .*

PROOF. If  $C$  is a subset of  $A$  with  $|C| < \sigma$  then there exists  $x \in X$  with  $\|x\| = 1$  and  $\|P_C x\| < \frac{1}{4}$ . So we can find a finite subset  $D$  of  $A$ , disjoint from  $C$ ,

such that  $\|P_D x\| > \frac{3}{4}$ . In this way we can construct inductively a family  $(x_\alpha)_{\alpha \in \sigma}$  of elements of ball  $X$  and a disjoint family  $(D_\alpha)_{\alpha \in \sigma}$  of subsets of  $A$  such that  $\|P_{D_\alpha} x_\alpha\| > \frac{3}{4}$  for all  $\alpha \in \sigma$ . That  $X$  has a complemented subspace isomorphic to  $l^1(\sigma)$  now follows from lemma 1.1 of [5].

Now suppose that the family  $(e_\alpha)_{\alpha \in \tau}$  of elements of ball  $X$  is equivalent to the usual basis of  $l^1(\tau)$  for some uncountable  $\tau > \sigma$ . For suitably chosen finite subsets  $D_\alpha$  of  $B$  the family  $(P_{D_\alpha} e_\alpha)_{\alpha \in \tau}$  is still equivalent to the usual basis of  $l^1(\tau)$ . Now we can find an uncountable set  $\Gamma$  of indices  $\alpha$ , such that  $D_\alpha$  is the same finite set  $D$ , say, for all  $\alpha \in \Gamma$ . We deduce that the weakly compactly generated Banach space  $\sum_{\alpha \in \Gamma} L^1(\nu_\alpha)$  has a subspace isomorphic to  $l^1(\Gamma)$ , which is false by remark 2 of §1 of [5].

2.4 LEMMA. *If  $\kappa$  is a cardinal and  $X$  is a Banach space with a subspace isomorphic to  $l^1(\kappa)$ , then  $X^*$  has a subspace isomorphic to  $l^1(2^\kappa)$ .*

PROOF. Let  $I: l^1(\kappa) \rightarrow X$  be an embedding with transpose  $I^*: X^* \rightarrow l^\infty(\kappa)$ . By 1.1 it will be enough to prove that  $l^1(2^\kappa)$  embeds in  $l^\infty(\kappa)$ . Since the compact space  $\{0, 1\}^{2^\kappa} = S$  has a dense subset of cardinality  $\kappa$  we can see that the space of continuous functions  $\mathcal{C}(S)$  embeds in  $l^\infty(\kappa)$ . On the other hand,  $l^1(2^\kappa)$  embeds in  $\mathcal{C}(S)$  via the coordinate functions.

2.5 THEOREM. *Let  $Z$  be an  $\mathcal{L}_\infty$ -space. If  $\delta$  is the density character of  $Z^*$  then  $Z^*$  has a complemented subspace isomorphic to  $l^1(\delta)$ .*

PROOF. Let  $S$  denote the unit ball of  $Z^*$  under the weak\* topology, and  $I$  the natural embedding of  $Z$  in  $\mathcal{C}(S)$ . Then by 1.1 there is an embedding  $J: Z^* \rightarrow \mathcal{C}(S)^*$  such that  $I^*J$  is the identity on  $Z^*$ . If  $(\nu_a)_{a \in A}$  is a maximal family of mutually singular measures on  $S$  we can identify  $\mathcal{C}(S)^*$  with

$$\left( \sum_{a \in A} \oplus L^1(\nu_a) \right)_1.$$

Let  $B$  be a subset of  $A$  of minimal cardinality such that  $P_B$  is an isomorphism on  $Z^*$ . If  $|B| = \delta$  Lemma 2.3 gives the desired result. Otherwise, let  $\mu = |B|^+ \leq \delta$ . We shall obtain a contradiction to the second part of 2.3 by showing that  $Z^*$  has a subspace isomorphic to  $l^1(\mu)$ .

Firstly note that since  $\mu$  is a regular cardinal it must be that one of the spaces  $L^1(\nu_a)$  ( $a \in B$ ) has density character  $\mu$ . Since  $\nu_a$  is a measure on  $S = \text{ball } Z^*$ , we have obvious operators

$$Z \rightarrow \mathcal{C}(S) \rightarrow L^\infty(\nu_a) \rightarrow L^1(\nu_a).$$

As in the proof of 2.6 of [3], we can conclude that the density character of the image of  $Z$  in  $L^1(\nu_a)$  is  $\mu$ , and hence that there exists a family  $(z_\gamma)_{\gamma \in \mu}$  of elements of  $Z$  with

$$\|z_\gamma\|_Z = 1 \quad \text{and}$$

$$\|z_\beta - z_\gamma\|_{L^1(\nu_a)} \geq \varepsilon > 0 \quad (\beta \neq \gamma).$$

If the regular cardinal  $\mu$  satisfies the condition  $(\neq)$  we are finished since, by 2.3 of [3],  $Z$  has a subspace isomorphic to  $l^1(\mu)$ . Hence by 2.4  $Z^*$  has a subspace isomorphic to  $l^1(2^\mu)$ .

We now consider the case where  $\mu$  does not satisfy  $(\neq)$ . Let  $\tau$  be the smallest cardinal such that  $\tau < \mu$ ,  $\tau^\omega \geq \mu$ . Then certainly  $\tau$  satisfies  $(\neq)$  since if  $\kappa^\omega \geq \tau$  we have

$$\kappa^\omega = (\kappa^\omega)^\omega \geq \tau^\omega \geq \mu,$$

so that  $\kappa \geq \tau$ , by choice of  $\tau$ . It must also be the case that  $\text{cf}(\tau) = \omega$  since if  $\text{cf}(\tau) > \omega$  we have

$$\tau^\omega = \sup\{\kappa^\omega : \kappa < \tau\}.$$

Thus we can apply Proposition 2.2 and deduce that  $Z$  has a subspace isomorphic to  $l^1(\tau)$ . So  $Z^*$  has a subspace isomorphic to  $l^1(2^\tau)$  and since  $2^\tau \geq \tau^\omega \geq \mu$  the proof is finished.

**2.6 COROLLARY.** *Let  $X$  be an injective bidual Banach space. Then  $X$  is isomorphic to  $l^\infty(\Gamma)$  for a suitable set  $\Gamma$ .*

**PROOF.** As remarked in [5], this is an easy deduction from 2.5. If  $X = Z^{**}$  is injective and  $\delta = \text{dens } Z^*$ , then certainly  $X$  embeds as a subspace (necessarily complemented) of  $l^\infty(\delta)$ . On the other hand, we have just seen that  $Z^*$  has a complemented subspace isomorphic to  $l^1(\delta)$ , so that  $X$  has a complemented subspace isomorphic to  $l^\infty(\delta)$ . Now Pelczynski's decomposition method (or "accordion lemma"), proposition 1.4 of [5], gives the desired result.

### 3. An example

It was shown in [3] that, for a regular cardinal  $\tau$  which satisfies  $(\neq)$ , the following assertions about the Banach space  $X$  are equivalent:

- (ai)  $X$  has a subspace isomorphic to  $l^1(\tau)$ ;
- (aii)  $X^*$  has a subspace isomorphic to  $l^1(\lambda_\tau)$ .

A closely related result was also given, that for a compact Hausdorff space  $T$  the following are equivalent (subject to the same restrictions on  $\tau$ ):

- (bi)  $T$  carries a homogeneous measure of type  $\tau$ ;
- (bii) there exists a continuous surjection from  $T$  onto  $[0, 1]^{\tau}$ .

Subject to the generalized continuum hypothesis, a cardinal  $\tau$  satisfies  $(\#)$  if and only if it is not of the form  $\tau = \kappa^+$  where  $\text{cf}(\kappa) = \omega$ . The most obvious example, therefore, of a cardinal not satisfying  $(\#)$  is  $\omega_1$ , and I give in this paragraph an example to show that assuming the continuum hypothesis, neither of the above equivalences is valid for  $\tau = \omega_1$ . It also settles negatively conjecture 6 of [5] by showing that there is a dual  $L^1$ -space that is not isomorphic to an  $l^1$ -direct sum of spaces of the type

$$L_{\kappa} = \left( \sum_{2^{\kappa}}^{\oplus} L^1(\lambda_{\kappa}) \right)_1.$$

I have not been able to construct such an example without the use of CH.

3.1 THEOREM. *Subject to the continuum hypothesis, there exist a compact space  $S$  and a measure  $\mu$  on  $S$  such that the following hold:*

- (i)  $|S| = \omega_1$ ;
- (ii)  $\mu$  is homogeneous of type  $\omega_1$ ;
- (iii) every compact  $\mu$ -null set is metrizable;
- (iv) a nonzero measure  $\nu$  on  $S$  is homogeneous of type  $\omega_1$  if and only if  $\nu$  is absolutely continuous with respect to  $\mu$ ;
- (v)  $\mathcal{C}(S)^*$  is isometric to the  $l^1$ -direct sum

$$(L^1([0, 1]^{\omega_1})) \oplus \left( \left( \sum_{\omega_1}^{\oplus} L^1[0, 1] \right) \oplus l^1(\omega_1) \right)_1;$$

- (vi)  $\mathcal{C}(S)$  does not contain a subspace isomorphic to  $l^1(\omega_1)$ .

PROOF. The basic process in the construction is the following. Suppose that  $T$  is a compact space, that  $\mu$  is a probability measure on  $T$ , and that  $\mathcal{K} = (K_n)_{n \in \omega}$  is a sequence of disjoint closed subsets of  $T$  satisfying

$$K_n = \text{supp}(\mu \upharpoonright K_n) \quad (n \in \omega),$$

$$\mu \left( \bigcup_{n \in \omega} K_n \right) = 1.$$

Denote by  $T^{\mathcal{X}}$  the subset

$$(T \times \{0\}) \cup \bigcup_{n \in \omega} (K_n \times \{2^{-n}\})$$

of  $T \times \mathbf{R}$ . Then  $T^{\mathcal{X}}$  is compact and the map  $p: T^{\mathcal{X}} \rightarrow T; (t, x) \mapsto t$  is continuous. We denote by  $\mu^{\mathcal{X}}$  the measure on  $T^{\mathcal{X}}$  obtained by splitting  $\mu$  in half, that is



$$\mu^{\mathcal{K}} = \frac{1}{2} \sum_{n \in \omega} (\mu \upharpoonright K_n) \otimes (\delta(0) + \delta(2^{-n})).$$

Certainly the image  $\bar{p}(\mu^{\mathcal{K}})$  of  $\mu^{\mathcal{K}}$  under  $p$  is  $\mu$  and, if  $T = \text{supp } \mu$ , then also  $T^{\mathcal{K}} = \text{supp } \mu^{\mathcal{K}}$ .

The space  $S$  that we shall construct will be the inverse limit of a system

$$(S_\alpha, p_{\alpha\beta})_{\omega \leq \alpha \leq \beta < \omega_1}$$

of compact metrizable spaces indexed by the ordinals  $\alpha$  with  $\omega \leq \alpha < \omega_1$ . We shall also define probability measures  $\mu_\alpha$  on the spaces  $S_\alpha$ ; these will satisfy

$$\mu_\alpha = \bar{p}_{\alpha\beta} \mu_\beta \quad (\alpha < \beta)$$

and  $\mu$  will be defined to be the inverse limit measure on  $S$ . As usual, we shall write  $p_\alpha$  for the canonical map  $S \rightarrow S_\alpha$ .

We start by defining  $S_\omega = \mathbf{D}^\omega$  and  $\mu_\omega = \lambda_\omega$ , and fix an enumeration  $(N_\xi^\omega)_{\xi \in \omega_1}$  of the compact  $\mu_\omega$ -null subsets of  $S_\omega$ . Suppose now that spaces  $S_\beta$ , continuous surjections  $p_{\alpha\beta}$ , measures  $\mu_\beta$ , and enumerations  $(N_\xi^\beta)_{\xi \in \omega_1}$  of the compact  $\mu_\beta$ -null sets have been defined for all  $\alpha, \beta$  with  $\omega \leq \alpha \leq \beta < \delta$ . In the case where  $\delta$  is a limit ordinal we just take  $S_\delta$  and  $\mu_\delta$  to be inverse limits,  $p_{\alpha\delta}$  to be the naturally determined map and choose an enumeration  $(N_\xi^\delta)_{\xi \in \omega_1}$  of the compact  $\mu_\delta$ -null sets.

If  $\delta = \gamma + 1$ , we note that the subset  $E = \bigcup_{\alpha, \xi \leq \gamma} p_{\alpha\gamma}^{-1}[N_\xi^\alpha]$  of  $S_\gamma$  is  $\mu_\gamma$ -null, and choose a sequence  $\mathcal{K} = \mathcal{K}(\gamma) = (K_n^\gamma)_{n \in \omega}$  of disjoint compact subsets of  $S_\gamma \setminus E$  satisfying  $K_n^\gamma = \text{supp } (\mu_\gamma \upharpoonright K_n^\gamma)$ ,

$$\mu_\gamma \left( \bigcup_{n \in \omega} K_n^\gamma \right) = 1.$$

We take

$$S_{\gamma+1} = S_\gamma^{\mathcal{K}},$$

$$\mu_{\gamma+1} = \mu_\gamma^{\mathcal{K}},$$

$$p_{\gamma, \gamma+1} = p,$$

as in the basic process described above. We also define a map  $r_\gamma : S_{\gamma+1} \rightarrow \{0, 1\}$  by

$$r_\gamma(s) = \begin{cases} 0 & \text{if } s \in S_\gamma \times \{0\}, \\ 1 & \text{otherwise.} \end{cases}$$

We may now turn our attention to proofs of the assertions (i) to (vi).

(i) We note that for each  $z \in S_\omega$ ,  $\{z\} = N_{\alpha(z)}^\omega$  for a suitable  $\alpha(z) < \omega_1$ . Hence,

by the construction,  $p_{\alpha(z),\beta}$  is injective on  $p_{\omega,\beta}^{-1}(z)$  whenever  $\beta \geq \alpha(z)$ , and so  $p_{\alpha(z)}$  is injective on the subset  $p_{\omega}^{-1}(z)$  of  $S$ . Since  $S_{\alpha(z)}$  is a compact metrizable space,  $|S_{\alpha(z)}| \leq \omega_1$  and so  $|p_{\omega}^{-1}(z)| \leq \omega_1$ . We deduce that  $|S| = \omega_1$  from the equality

$$S = \bigcup_{z \in S_{\omega}} p_{\omega}^{-1}(z).$$

(ii) We can define a map  $\rho: S \rightarrow \mathbf{D}^{\omega_1}$  by

$$\begin{aligned} (\rho s)_n &= (p_{\omega} s)_n & (n < \omega), \\ (\rho s)_{\gamma} &= r_{\gamma}(p_{\gamma+1} s) & (\omega \leq \gamma < \omega_1). \end{aligned}$$

Then  $\rho$  is Baire measurable and induces an isometry of  $L^1(\mu)$  onto  $L^1(\lambda_{\omega_1})$ . Hence  $\mu$  is homogeneous of type  $\omega_1$ .

(iii) Let  $F$  be a compact  $\mu$ -null subset of  $S$ . Then there exists  $\alpha < \omega_1$  such that  $p_{\alpha}[F]$  is  $\mu_{\alpha}$ -null. Hence  $p_{\alpha}[F] = N_{\xi}^{\alpha}$  for some  $\xi < \omega_1$ . If  $\gamma = \max\{\alpha, \xi\}$  then  $p_{\gamma} \upharpoonright F$  is injective and  $F$  is therefore metrizable.

(iv) If  $\nu$  is a nonzero measure on  $S$  which is singular with respect to  $\mu$ , there is a compact subset  $F$  of  $S$  with  $\mu(F) = 0$ ,  $\nu(F) \neq 0$ . By (iii),  $F$  is metrizable. Since a compact metrizable space cannot carry a measure of type  $\omega_1$ ,  $\nu$  is not homogeneous of type  $\omega_1$ .

(v) Let  $(\nu_{\alpha})_{\alpha \in A}$  be a maximal family of nonzero atomless measures on  $S$ , which are mutually singular, and singular with respect to  $\mu$ . Then  $\mathcal{C}(S)^*$  is isometric to

$$\left( L^1(\mu) \oplus \sum_{\alpha \in A} {}^{\oplus} L^1(\nu_{\alpha}) \oplus l^1(\omega_1) \right)_1.$$

It will be enough to prove that  $|A| = \omega_1$ , since  $L^1(\mu)$  is isometric to  $L^1(\lambda_{\omega_1})$  (or, equivalently, to  $L^1([0, 1]^{\omega_1})$ ), and each  $\nu_{\alpha}$  is of type  $\omega$  (so that  $L^1(\nu_{\alpha})$  is isometric to  $L^1[0, 1]$ ). I shall show, in fact, that there are only  $\omega_1$  measures of type  $\omega$  on  $S$ . If  $\nu$  is any such measure,  $\nu$  is carried by some  $\mu$ -null  $\mathcal{X}_{\sigma}$  subset  $F$  of  $S$ . There is an ordinal  $\alpha = \alpha(\nu) < \omega_1$  with the property that  $p_{\alpha}$  is injective on  $p_{\alpha}^{-1} p_{\alpha}[F] = F$ . Consequently, if  $\nu'$  is a measure on  $S$  and  $\tilde{p}_{\alpha} \nu' = \tilde{p}_{\alpha} \nu$  we have  $\nu' = \nu$ . That is to say, the map  $\nu \rightarrow (\alpha(\nu), p_{\alpha(\nu)}(\nu))$ , which takes the set of measures of type  $\omega$  on  $S$  into

$$\omega_1 \times \left( \bigcup_{\alpha < \omega_1} \mathcal{C}(S_{\alpha})^* \right)$$

is injective.

(vi) By (v) and Lemma 2.3,  $\mathcal{C}(S)^*$  does not have a subspace isomorphic to  $l^1(2^{\omega_1})$ . Hence by Lemma 1.1,  $\mathcal{C}(S)$  does not have a subspace isomorphic to  $l^1(\omega_1)$ .

3.2 REMARK. The construction given in 3.1 shows clearly the way in which Proposition 2.2 (or proposition 2.3 of [3]) fails in the case  $\tau = \omega_1$ . We choose continuous functions  $f_\alpha$  on  $S$  which are close approximations in  $L^1(\mu)$  norm to the functions  $r_\alpha$ . There is no uncountable set of indices for which the family  $(f_\alpha)_{\alpha \in \Delta}$  is equivalent to the usual basis of  $l^1(\Delta)$ .

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